



Principles for Accepting Funds and Engaging in Partnerships

The Institute for Medicaid Innovation (IMI) is a **national 501(c)3 nonprofit, nonpartisan research and policy organization** focused on providing innovative solutions that address important clinical, research, and policy issues in Medicaid through multi-stakeholder engagement, research, data analysis, education, quality improvement initiatives, and dissemination and implementation activities. To remain relevant and responsive to the evolving needs of the Medicaid population, IMI seeks to understand what works well in the Medicaid program, identify areas for improvement, and disseminate innovative initiatives and solutions that address critical issues.

The **mission** of the Institute for Medicaid Innovation is to improve the lives of Medicaid enrollees through the development, implementation, and diffusion of innovative and evidence-based models of care that promote quality, value, equity, and the engagement of individuals, families, and communities.

The **vision** of the Institute for Medicaid Innovation is to provide independent, unbiased, nonpartisan information and analysis that informs Medicaid policy and improves the health of the nation.

As IMI charts its future, the organization is committed to four key strategic priorities: 1) access, coverage, and outcomes, 2) community & equity, 3) data and quality, and 4) sustainability.



Strategic Priority: Access, Coverage, and Outcomes

Goal Statement: Lead research, policy analysis, and innovative initiatives on equitable and effective access to and coverage of care, highlighting practices that improve outcomes in health, social, and human services to inform state and national Medicaid policy, clinical practice, and future research.



Strategic Priority: Community and Equity

Goal Statement: Convene local, state, and national partnerships and collaborations that engage all Medicaid stakeholders, including social and human services providers and agencies, to advance shared goals. Generate evidence and disseminate information on the role of racism and social, economic, and gender oppression on the Medicaid community and mechanisms to undo these harms.



Strategic Priority: Data and Quality

Goal Statement: Lead the collection, analysis, and dissemination of evidence that demonstrates the value of the Medicaid program and informs policymakers, clinicians, advocates, health plans, and researchers at the local, state, and national level. Lead efforts to identify and amplify Medicaid best practices and develop and implement innovative, evidence-based initiatives and programs that improve the quality of care and outcomes for all Medicaid enrollees.



Strategic Priority: Sustainability

Goal Statement: Build a strong, inclusive, and lasting organization that is financially sound and supportive of its employees and stakeholders rooted in the values of innovation, community, equity, and justice. The sustainability of the organization to lead its mission and achieve its strategic priorities contributes to the collective national effort to support the sustainability of the Medicaid program.



Commitment to Diversity, Equity, Inclusion, and Justice

Diversity, equity, inclusion, and justice (DEIJ) have been part of IMI's core since its creation beginning with its mission, vision, and board composition. DEIJ are embedded into the core fabric of the organization at all levels and inform our work, including our funder relationships and partnerships. We believe, through our commitment to diversity, equity, inclusion, and justice, IMI can be a catalyst for positive change. We commit to constant improvement in this space through learning, listening, and incorporating best practices. We encourage, support, and celebrate the diverse voices of employees, partners, and funders as the ideas that are shared fuels our innovation and connects us to the needs of the Medicaid community we serve. When social justice efforts are led by and prioritize the experiences of those most impacted, everyone benefits.



Partnerships Advance our Mission to Improve the Lives of Medicaid Enrollees

IMI solicits and gratefully accepts external support in the form of restricted and unrestricted funding for activities that are aligned with our mission, vision, strategic priorities, and values. Support can be in the form of financial or non-financial/in-kind donations. We recognize the value of all support and the opportunities it provides to further our mission to improve the lives of individuals, families, caregivers, and communities.

IMI chooses its partners intentionally and carefully. To support this process, IMI has established **Funding Principles** to clearly articulate our decision-making process and define our objective approach to ensure fair and consistent evaluation of all potential support and partnerships. Ultimately, the decision to partner is based on a holistic view of the proposed activity, partnership, and funding organization.

With both the benefits and risks in mind, the following principles have been established, which apply primarily to the acceptance of external funds from or partnerships with for-profit corporations, foundations, entities selling goods or services related to health and health care, or entities that otherwise stand to gain financially from association with IMI. At our leadership's discretion, IMI can at any time and for any reason reject or return support from an individual, corporation, or foundation or end partnerships.



Funding Principles

IMI may accept support from or partner with an organization when an opportunity aligns with the following principles:

Funding Principle

1

Protecting Individuals, Families, and Communities Above All

Our work contributes to the collective effort to reduce inequities and improve the lives of Medicaid enrollees, families, caregivers, and communities. IMI engages with funders and partners with a shared commitment to not cause harm to any individual, family, caregiver, or community.

Funding Principle

2

Mission Alignment and Advancement

We prioritize research and programmatic activities that are aligned with our mission, core values of community and equity, and strategic priorities. Our research and programmatic activities are focused on advancing the reduction of inequities and improving the lives of individuals, families, caregivers, and communities served by the Medicaid program.

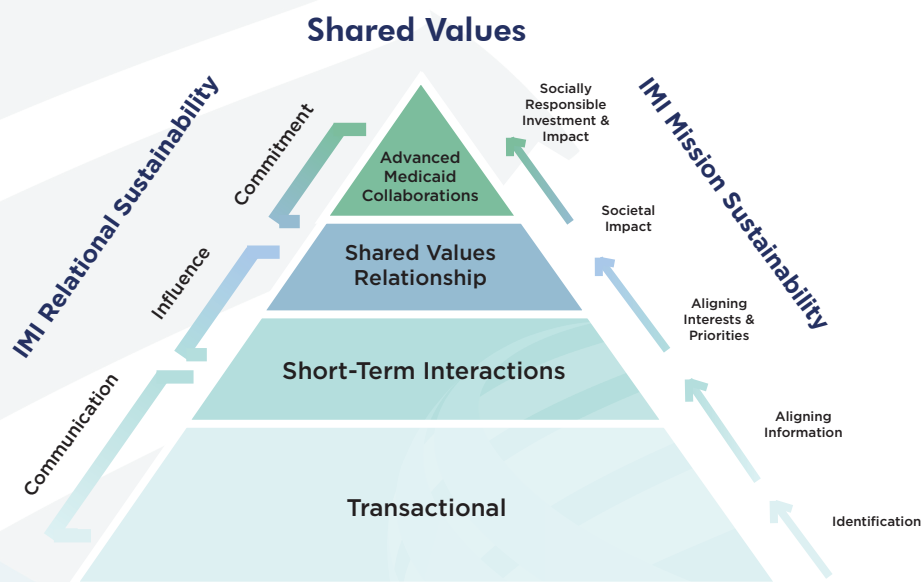
Funding Principle

3

Shared Values Agenda

IMI explores opportunities for funding from and partnerships with organizations who align with our shared values framework as outlined by our Partnerships Pyramid.

Figure 1. Partnerships Pyramid



Transparency • Trust • Cultural Alignment • Mission Alignment

Adapted from: Hassink, S. G. & Hutchins, B. F. (2015). Creating a Shared Values Agenda to Advance Child Health. *Pediatrics*, 136(6): 1178-80. doi: 10.1542/peds.2015-3448.



Funding Principle

4

Independence and Integrity

Maintaining our objective, evidence-based, and non-partisan organization requires us to retain independence from influence of funders and partnerships with state Medicaid agencies, federal government, Medicaid health plans, community-based and advocacy organizations, academic and non-academic researchers, and clinicians and providers groups.

Funding Principle

5

Distribution for the Benefit of All

To ensure the greatest benefit of our research and programmatic activities for Medicaid enrollees, families, caregivers, and communities, we publicly disseminate our work for all to use through the communication of insights and conclusions that are publicly accessible. To support this effort, we retain rights to our intellectual property produced during and after the funding period. IMI also seeks to disseminate our work in a variety of formats to support accessibility to all audiences especially Medicaid enrollees, families, caregivers, and communities.

Funding Principle

6

Transparency and Disclosure of Funding Sources

IMI publicly acknowledges all contributions that support our research, programs, events, and operations. We summarize all funding sources annually in a public report, by funding category. If a funder or partner wishes to remain anonymous, the request will be reviewed by the IMI governing board.

Funding Principle

7

Advancement of Brand and Reputation

IMI will consider the appropriate use of our name, logo, products, and reputation. Funders and partners may use IMI's brand or visual identity only with advance written permission and approval for each specific use.